



## Research Paper

## Thermoacoustic engines with near-critical working fluids

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## ABSTRACT

Thermoacoustic engines are devices in which heat is converted into acoustic oscillations, which can be tuned to mimic the thermodynamic cycle executed by mechanical motion such as that of a piston. In classical thermoacoustics, the working fluid is a gas far from the critical point. Herein, we extend the scope of working fluids to theoretically examine thermoacoustic conversion with fluids near their critical points. First, a “short engine” approximation is used, in which the acoustic field is assumed to be uniform and standing-wave dominated. This is then followed by a full-scale model of a standing-wave engine. Both models are investigated via the numerical solution of the equations for linear thermoacoustics, supplemented by the non-ideal equation of state for all fluid properties. The numerical model was first validated against published experimental results, and then used to project performance characteristics under various conditions. Results demonstrate that under operating conditions close to the critical point, thermoacoustic conversion can be enhanced; however, acoustic dissipation also increases, resulting in a trade-off between the larger output power of the engine (at a lower temperature difference) and the efficiency, which decreases. Importantly, the sub-critical region (i.e., at a pressure slightly lower than the critical pressure) yields better performance than the supercritical region in terms of both power output and efficiency. A potential application of near-critical thermoacoustic engines is low-grade heat recovery, due to the lower temperature difference required to drive the engine compared to classical engines.

## 1. Introduction

Thermoacoustic engines can convert heat into acoustic power, with advantages of high reliability, low maintenance and potentially high efficiency [1,2]. Since the development of the high-performance thermoacoustic engine at the end of last century by Backhaus and Swift [3], many thermoacoustic electricity generation and refrigeration systems have been built. Some of them were reported to have efficiencies as high as those in traditional engines [4,5]. In recent years, thermoacoustic engines with a looped resonator and multiple stages have been intensively studied, which has extended the application of thermoacoustic technology into low-grade heat recovery [6,7]. In these systems, however, the efficiency and the power density are still lower than the commercially available technologies, e.g., organic Rankine cycles, in this temperature range. Since the distribution of acoustic field in these systems is often close to ideal (see e.g. Al-Kayiem and Yu [8], Chen and Xu [9]), the room for further improving the performance is increasingly narrower, which prevents their large-scale application.

Generally speaking, thermoacoustic phenomena may occur when a compressible fluid is thermally perturbed [10]. Such phenomena are

much more significant in fluids near the critical point than those far away from the critical point, due to the sudden change of thermo-physical properties including density, thermal conductivity, viscosity, etc [11]. For example, in gas turbine engines, when the cold fuel flows through the tubes inside the engine for cooling, high-amplitude pressure oscillations may occur if the state of the fluid is close to the critical point [12–14]. Another example is the widely-known piston effect, which leads to very fast heat transfer in a low-heat-diffusing, near-critical fluids confined in a cavity [15–20].

Encouraged by the above-mentioned thermoacoustic phenomena in fluids near the critical point, it is reasonable to deduce that near-critical fluids may be used in thermoacoustic engines to enhance the energy conversion. Actually, this idea has already been suggested in 1980s by Wheatley et al. [21] and Swift [22], and later by Jin et al. [23]. The credit of the development of the first trans-critical thermoacoustic engines should be given to Scalo's group in Purdue University [24–28]. Specifically, their first attempt is an engine with a half wavelength resonator of 1-m length. Damped oscillations were observed when

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the system was excited by an external perturbation with the working fluid in a transcritical condition. Recently, this system was improved by adding a hot cavity, using more effective heat exchangers, and a locally enlarged thermoacoustic core [26,27]. R-218, whose critical temperature and pressure are 345.1 K and 2.64 MPa respectively, was selected as the working fluid. In the experiments, steady-state oscillations occurred with a temperature difference as low as 79 K. Due to the significant variation of density near the critical point, a peak-to-peak pressure amplitude as high as 669 kPa was achieved, with a mean pressure of 3.43 MPa ( $1.3 p_{cr}$ ), and a temperature difference of 150 K along the stack. This amplitude is much higher than the amplitude that can be achieved in a classical thermoacoustic engine with similar temperature differences, showing the potential of trans-critical thermoacoustic engines as devices for energy extraction and waste heat removal. Additionally, high-order compressible Navier–Stokes simulations on trans-critical engines were conducted by Migliorino and Scalo [24,25], which reveal a boost of thermoacoustic conversion, as well as the required input heat, near the critical point.

To the authors' knowledge, the studies by Scalo's group are the only experimental explorations on thermoacoustic engines adopting near-critical (or trans-critical) fluids. To date, the mechanism of thermoacoustic conversion near the critical point stays unclear; the characteristics and the potential in performance of the thermoacoustic engine using near-critical fluids still lack study. Herein, we present a systematic theoretical study on a near-critical thermoacoustic engine, especially in a standing-wave field, through both an idealized short engine model and a full-scale standing-wave engine model. In the analysis of the short engine model, the main factors determining the near-critical thermoacoustic conversion are investigated, shedding light on the performance of an ideal standing-wave near-critical thermoacoustic engine. In the analysis of the full-scale engine, calculations are performed to show the effects of the near-critical fluid on a practical engine. Our results demonstrate that the utilization of a near-critical fluid can improve the acoustic power output, reduce the required temperature difference but decrease the efficiency.

## 2. Model formulation

Two models, including a short engine and a full-scale standing-wave engine, will be used to predict the performance of thermoacoustic conversion with near-critical fluids. They will be introduced in this section.

### 2.1. Governing equations

The governing equations describing the fluid thermoacoustic conversion have been derived by Swift et al. [29] based on Rott's acoustic assumptions [30]. They consist of the momentum equation, continuity equation, total energy flux equation and energy balance equation:

$$\frac{dp_1}{dx} = -\frac{i\omega\rho_m}{(1-f_v)A_g}U_1 \quad (1)$$

$$\frac{dU_1}{dx} = -\frac{i\omega A_g}{\rho_m a^2} \left[ 1 + \frac{(\gamma-1)f_k}{1+\epsilon_s} \right] p_1 + \frac{\beta(f_k-f_v)}{(1-f_v)(1-Pr)(1+\epsilon_s)} \frac{dT_m}{dx} U_1 \quad (2)$$

$$\frac{dT_m}{dx} = \frac{\dot{H}_2 - \frac{1}{2}\Re \left[ p_1 \tilde{U}_1 \left( 1 - \frac{T_m \beta (f_k - f_v)}{(1+\epsilon_s)(1+Pr)(1-f_v)} \right) \right]}{\frac{\rho_m c_p |U_1|^2}{2\omega A_g (1-Pr) |1-f_v|^2} \Im \left[ \tilde{f}_v + \frac{(f_k - f_v)(1+\epsilon_s f_v / f_k)}{(1+\epsilon_s)(1+Pr)} \right] - A_g k - A_s k_s} \quad (3)$$

$$\frac{d\dot{H}_2}{dx} = \dot{q} \quad (4)$$

In these equations,  $p_1$  and  $U_1$  are the first order amplitudes of the pressure and the volumetric velocity, respectively.  $T_m$  is the temperature.  $x$  is the axial direction of the system.  $\dot{H}_2$  is the total energy flux.  $\dot{q}$  is the gradient of  $\dot{H}_2$ , taken to be zero everywhere except at heat exchangers. Further,  $\rho_m$ ,  $a$ ,  $c_p$ ,  $\gamma$ ,  $\beta$  and  $Pr$  denote the mean density,

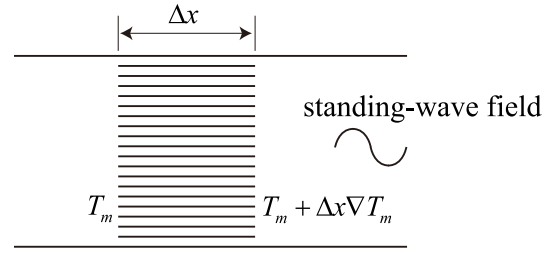


Fig. 1. The short engine model.

the sound speed, the specific heat capacity, the specific heat ratio, the thermal expansion coefficient and the Prandtl number, respectively.  $\omega$  is the angular frequency,  $k$  and  $k_s$  represent the thermal conductivity of the gas and solid, respectively,  $A_g$  and  $A_s$  represent the cross-sectional area for gas and solid, respectively.  $f_v$  and  $f_k$  are spatially averaged functions for viscous and thermal effects.  $\epsilon_s$  is a correction for thermal properties of the solid wall, accounting for the effect of solid on the acoustic oscillations together with the term  $A_s k_s$ . The symbols  $\Re$  and  $\Im$  denote the real and imaginary parts of a complex number. The tilde symbol represents the conjugate of a complex number.

An important characteristic of the present model is the use of real fluid properties, which is obtained through NIST Refprop software [31], whose data have been validated against experimental measurements [32]. The temperature difference between solid and gas in heat exchangers was calculated based on the method described in Refs. [33] and [34].

### 2.2. The short engine approximation

The variation of acoustic power not only depends on fluid properties, but is also determined by the acoustic field (namely,  $p_1$  and  $U_1$ ). On the other hand, in actual thermoacoustic systems,  $p_1$  and  $U_1$  are strongly dependent on the geometry of the channel and the fluid properties, and they vary along the system. To exclude the influence of the acoustic field and isolate the effects of fluid properties near the critical point, following Swift [22], a short engine model is used. As shown in Fig. 1, the short engine is a stack with a length of  $\Delta x$ , which is so short that the acoustic field inside it can be considered uniform. All the other components, including the heat exchangers and resonators, are ignored, so that we can focus on the thermoacoustic conversion in the stack.

The variation of the acoustic wave passing through the short engine can be calculated by simply solving Eqs. (1) to (4), and the values of all the other related parameters in it can also be obtained. Specifically, the acoustic power generation by the short engine  $\Delta \dot{E}$  can be expressed as

$$\Delta \dot{E} = -\frac{\Delta x}{2} \Re \left\{ p_1 \frac{d\tilde{U}_1}{dx} + \frac{dp_1}{dx} \tilde{U}_1 \right\} \quad (5)$$

Thus the energy output density  $E_V$  is defined as

$$E_V = \Delta \dot{E} / V_{sh} \quad (6)$$

where  $V_{sh}$  is the volume of the short engine.

The input heat  $Q_h$  is calculated through energy balance [22]

$$Q_h = \dot{E}_h - \dot{H}_2 \quad (7)$$

where  $\dot{E}_h$  and  $\dot{H}_2$  represent the acoustic power at the hot end of the stack and the total energy flux right after the stack.

Therefore, the thermal efficiency  $\eta$  of the short engine is calculated by

$$\eta = \Delta \dot{E} / Q_h \quad (8)$$

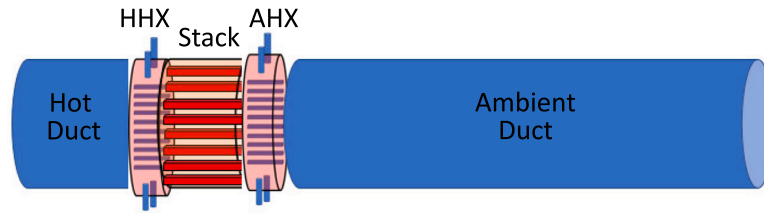


Fig. 2. Schematic of the standing-wave thermoacoustic engine, exported from PC-TAS [37].

Table 1

Critical temperatures and pressures of some fluids.  $T_{cr}$ -critical temperature.  $p_{cr}$ -critical pressure.

Items	$T_{cr}$ (K)	$p_{cr}$ (MPa)
CO <sub>2</sub>	304.13	7.377
Ethane	305.32	4.872
R32	351.255	5.782
Propylene	364.21	4.555
Dimethylether(DME)	400.38	5.341

and the corresponding relative Carnot efficiency  $\eta_2$  is

$$\eta_2 = \frac{\Delta \dot{E}T_h}{Q_h(T_h - T_c)} \quad (9)$$

From Eqs. (1), (2), (3), (5), (7) and (9), neglecting the heat conduction terms, it can be reached that the efficiency of the short engine is determined by seven parameters [35],

$$\eta_2 = F(p_m, T_m, \nabla T_m / \omega, |z|, \varphi, \tau_\alpha, gas) \quad (10)$$

in which  $\nabla T_m / \omega$  is the ratio of temperature gradient over angular frequency,  $|z| = |p_1|A_g/|U_1|$  is the amplitude of the acoustic impedance.  $\tau_\alpha = r_h \sqrt{\omega/\alpha}$  is the Womersley number, which can be considered a hydraulic radius of the stack scaled by the penetration depth.  $\varphi$  is the phase difference between  $p_1$  and  $U_1$ , which is set to be  $92^\circ$ , a typical value in standing-wave engines [30].

CO<sub>2</sub> is used as the main working fluid, because its critical point is achievable in lab conditions, and subcritical and transcritical CO<sub>2</sub> has been widely used in conventional power cycles [36]. Additionally, some other fluids listed in Table 1 are also investigated.

### 2.3. Full-scale standing-wave engine

To gain more quantitatively accurate results, a simulation of a full-scale standing-wave thermoacoustic engine is also performed. The engine was modified from a representative engine developed by Swift [33] in 1992, to better suit the operation with near-critical fluids. As shown in Fig. 2, the engine consists of a hot duct, a hot heat exchanger (HHX), a stack, an ambient heat exchanger (AHX) and an ambient duct. The detailed dimensions of each component are presented in Table 2. We note that these dimensions are a result of an optimization procedure by a manual iterative process, for highest efficiency at  $p_m/p_{cr} = 0.9$ . Additionally, the ambient temperature is fixed at  $T_{cr} + 5$  K. This temperature was selected to ensure that the operating conditions are always above the critical temperature, so no multi-phase fluids are encountered. Again, CO<sub>2</sub> is used as the working fluid in most of the simulations.

The efficiency  $\eta$  for the engine is defined as the ratio of the generated acoustic power through the stack and the input heat (similar to Eq. (8)), while the corresponding relative Carnot efficiency  $\eta_2$  is defined according to Eq. (9).

The system was modeled with the aid of the software PC-TAS [37], and the detailed methodology has been described in the appendix.

### 3. Validation of the model

In this section, the experimental data of the engine developed by Martinez et al. [26] are used as a benchmark to validate the model. As can be seen in Fig. 3, both the calculated values of the pressure amplitude  $|p_1|$  and the frequency  $f$  show good agreements with the experimental results, indicating that the model is able to qualitatively capture the basic features of the operation of the engine. The calculated values of  $|p_1|$  are slightly lower than the experimental data, with the deviations within 34%. The deviations decrease as  $p_m$  is increased. The low operating frequency ( $\sim 5$  Hz) reported by Martinez et al. [26] is due to the low sound speed in trans-critical fluids. It was accurately captured by the model, with deviations within 4%. The main reason for the deviations may be coil of the resonator and the sudden change in cross-sectional areas between thermoacoustic core and resonators, which introduced additional impedance and altered the acoustic field in the experiments, but was not considered in the model. In addition, the non-linear effects (harmonics, compressible flow effects, gravity, etc.) neglected in Eqs. (1) to (3) are expected to be more significant for near-critical fluids than ideal gases, due to the non-linearity of the equation of state. We note that the continuous curves are fitted from the calculated values that are discontinuous (see the appendix). The discontinuity may be caused by the numerical algorithm used by PC-TAS [38], but it also indicates the possible existence of several solution branches, i.e., bi-stability, which has already been observed in both experimental and numerical studies in many classical thermoacoustic engines [39,40]. It is likely that the non-linear properties of fluids near critical point increase the probability of bi-stability. In summary, these results prove that the model is a reliable tool to analyze and predict the performance of near-critical thermoacoustic engines.

### 4. Results and discussion

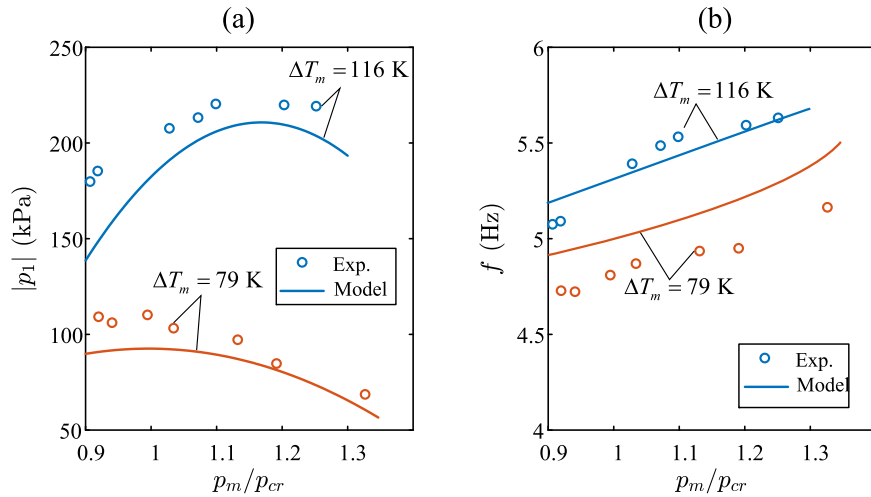
#### 4.1. Properties investigation

In Fig. 4, the properties of CO<sub>2</sub> near the critical point are investigated. As widely known, the thermodynamic properties of fluids can show significant non-linearity near the critical point, which is exemplified with thermal expansion coefficient  $\beta$ , density  $\rho_m$  and Prandtl number  $Pr$ . The trends of  $\beta$  and  $Pr$  are similar. Both of them reach peak values when pressure approaches critical values. For  $T_m/T_{cr} = 1$ , the peak appears exactly at the critical pressure  $p_{cr}$ . As  $T_m$  is increased, the peak value drops, and the corresponding critical  $p_m$  increases gradually.  $\beta$  and  $Pr$  at the peaks can be much higher than in an ideal gas. For instance, at the critical point,  $\beta$  can be two orders of magnitude higher. A large  $\beta$  of fluids near the critical point is responsible for the enhanced thermoacoustic conversion, and the related advantages of engines adopting near-critical fluids. However, a high  $Pr$ , which indicates a more severe viscous loss, is detrimental [41]. As for the density  $\rho_m$ , there exists an abrupt change when  $p_m$  is increased across the critical value. Such change becomes less significant as temperature is increased further above the critical temperature.

According to Rott's thermoacoustic theory [30], the acoustic power variation in the engine along the axial direction can be calculated by

**Table 2**  
Dimensions of the components of the thermoacoustic engine. HHX-hot heat exchanger, AHX-ambient heat exchanger.  $r_h$ -hydraulic radius.  $\tau_a$ -defined as  $r_h\sqrt{\omega/\alpha}$ , where  $\omega$  is the angular frequency,  $\alpha$  is the thermal diffusivity.  $\psi$ -porosity.

Items	Diameter (mm)	Length (mm)	Details
Hot Duct	127	145	-
HHX	127	18	Parallel-plates type, $\psi$ is 0.393, $\tau_a$ is 0.39.
Stack	127	84	Round pore, $\psi$ is 0.81, $\tau_a$ is 1.4.
AHX	127	25	Parallel-plates type, $\psi$ is 0.486, $\tau_a$ is 1.1.
Ambient Duct	127	3650	-



**Fig. 3.** Comparison between the experimental results in Martinez et al. [26] and the numerical results. (a) Pressure amplitude  $|p_1|$ . (b) Frequency  $f$ . The circles and the curves represent the experimental and numerical results, respectively.

$$\frac{d\dot{E}_2}{dx} = -\frac{r_v}{2} |U_1|^2 - \frac{1}{2r_a} |p_1|^2 + \frac{1}{2} \Re [g\tilde{p}_1 U_1] \quad (11)$$

in which  $r_v$  and  $r_a$  represent the acoustic resistances due to the viscous and the thermal-relaxation effects.  $g$  is the acoustic source term, which directly shows the effect of thermoacoustic conversion.

$$r_v = \frac{\omega\rho_m}{A_g} \frac{\Im[-f_v]}{|1-f_v|^2} \quad (12)$$

$$\frac{1}{r_a} = (1-\gamma) \frac{\Im[f_k]}{\rho_m a^2} \frac{\omega A_g}{\rho_m a^2} \quad (13)$$

$$g = \frac{(f_k - f_v)}{(1-f_v)(1-Pr)} \beta \nabla T_m \quad (14)$$

From Eq. (11), it is clear that the acoustic power generation is proportional to the source term  $g$ , while the viscous and thermal dissipations are proportional to the viscous resistance  $r_v$  and the thermal-relaxation resistance  $r_a$ , respectively. Hence, the variations of  $|g|$ ,  $r_v$  and  $r_a$  are also shown in Fig. 4. It is obvious that they share similar trends: all of them go up as  $p_m$  is increased until reach peaks; these peaks are increasingly higher and the corresponding locations of the peaks are more and more close to  $p_{cr}$ , when  $T_m$  approaches  $T_{cr}$ . For  $|g|$ , its trend is dominated by the variations of  $\beta$ , which  $|g|$  is proportional to according to Eq. (14); while for  $r_v$  and  $r_a$ , their trends are determined by the tendencies of both  $\rho_m$  and  $Pr$ . Since the influences of the rising  $|g|$  and two resistances ( $r_v$  and  $r_a$ ) on thermoacoustic conversion are contrary, their comprehensive effect on the performance of the engine can be intricate, and is determined by both the fluid properties and the local acoustic field.

#### 4.2. The short engine

In this section, the performance of the short engine will be discussed. In Fig. 5, the dependence of the efficiency  $\eta_2$  on Womersley number  $\tau_a$ , with different acoustic impedance  $|z|$  or different temperature gradients  $\nabla T_m/\omega$ , is shown. As widely known, for efficient

standing-wave thermoacoustic conversion, imperfect thermal contact between the gas and the solid is required, otherwise the temperature difference between the gas and the solid in the adiabatic processes cannot be maintained [22]. Therefore, the optimal  $\tau_a$ , which can be considered a dimensionless hydraulic radius of the channel, is between 1 to 1.5, depending on  $|z|$ . A relatively large  $|z|$  helps decrease the viscous loss in the stack section. In this engine, the optimal  $|z|$  for highest  $\eta_2$  is around  $10 \rho_m a$ . Moreover, increasing  $\nabla T_m/\omega$  may help improve efficiency, but will decrease the generated acoustic power when  $|z|$  is fixed. For instance, the efficiency  $\eta_2$  is increased from 0.13 to 0.32 when  $\nabla T_m/\omega$  decreases from 15.9 K/s to 4.8 K/s, but the generated acoustic power drops by nearly 90%. In the following discussion,  $|z| = 10 \rho_m a$  and  $\nabla T_m/\omega = 4.8$  K/s are chosen.

The thermoacoustic conversion near the critical point shows strong dependence on  $p_m$  and  $T_m$ . In Fig. 6, the performance of the short engine with different  $p_m$  and  $T_m$  is examined. Note that the optimal values of  $\tau_a$  for various  $p_m$  and  $T_m$  are different (see the appendix). Hence, the values of  $\tau_a$  have been optimized at every point, so the data can be considered the highest  $\eta_2$  that a standing-wave engine can obtain with the specific  $\nabla T_m/\omega$  and  $|z|$ . The positive effect of near-critical conditions on the standing-wave engine is clearly shown: it increases the output power of the engine, because the thermoacoustic conversion characterized by  $g$  is enhanced near the critical point (see Fig. 4). The benefit from a higher  $E_V$  is not only a larger power output, but also a lower temperature difference required to drive a full-scale engine. The reason behind this is that a larger  $E_V$  means a higher acoustic power generated in the stack under a fixed temperature difference in the engine, but the energy dissipation to be overcome for oscillation in the rest of the system changes slightly [42]. However, on the other hand, working near the critical point decreases the efficiency, because the viscous and thermal dissipation increases (this is quantified by the increase in  $r_v$  and  $r_a$ . See Fig. 4), and they dominate the change of efficiency. At the critical point,  $\eta_2$  goes towards zero because of the very large viscous loss. When  $p_m$  exceeds  $p_{cr}$ ,  $\eta_2$  recovers in some degree, but it is still very low. This indicates that near the critical point, supercritical fluids may be not a

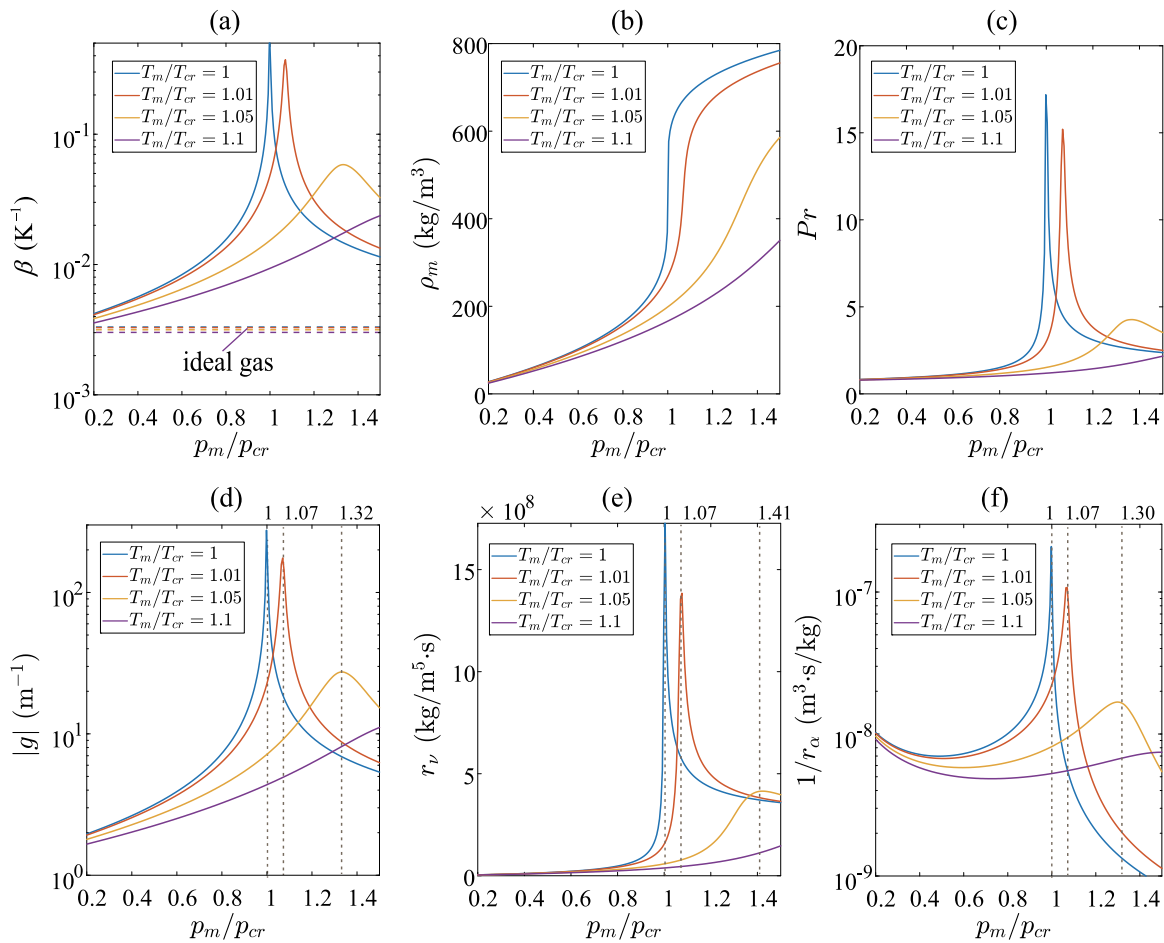


Fig. 4. Properties of CO<sub>2</sub> near the critical point. (a) Thermal expansion coefficient. (b) Density. (c) Prandtl number. (d) Acoustic source term. (e) Viscous resistance. (f) Thermal-relaxation resistance. In (d), (e) and (f),  $A_g = 0.001 \text{ m}^3$ ,  $\nabla T_m = 600 \text{ K/m}$  and  $f = 20 \text{ Hz}$  are arbitrarily set. They have no effect on the trends of the curves.  $\tau_\alpha$  is fixed at 1.4 by adjusting the hydraulic radius.

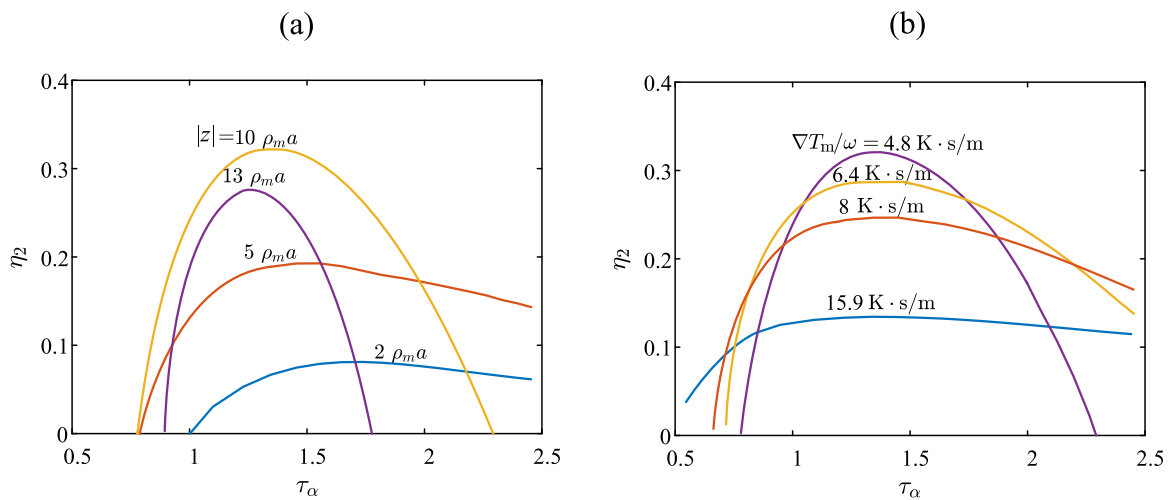


Fig. 5. Relative Carnot efficiency  $\eta_2$  of the short engine vs. Womersley number  $\tau_\alpha$ , with different acoustic impedance  $|z|$  in (a), or different  $\nabla T_m/\omega$  in (b).  $T_m = T_{cr}$ ,  $p_m = 0.8p_{cr}$ .  $\tau_\alpha = r_h \sqrt{\omega/a}$ .

good choice. Therefore, we will focus on subcritical conditions in most of the discussion ( $T_m > T_{cr}$  and  $p_m < p_{cr}$ ).

In Fig. 7, the performances of the short engine with fluids listed in Table 1 in their subcritical conditions are presented. Again, the values of  $\tau_\alpha$  have been optimized at every point.  $T_m$  is set at  $T_{cr}$ . It can be seen that in all curves,  $\eta_2$  drops towards zero when  $p_m$  is increased towards

the critical point. However, the optimal  $p_m$  for highest  $\eta_2$  are different. As mentioned above, the advantage of near-critical conditions is that they help increase the power output of the engine. This advantage is more significant for fluids with a lower  $p_{cr}$ , as reflected by the steeper curves of  $E_V$  near their critical pressures. For instance,  $E_V$  is doubled when  $p_m$  is increased from 3.7 MPa to 4.5 MPa ( $0.99 p_{cr}$ ) for propylene;



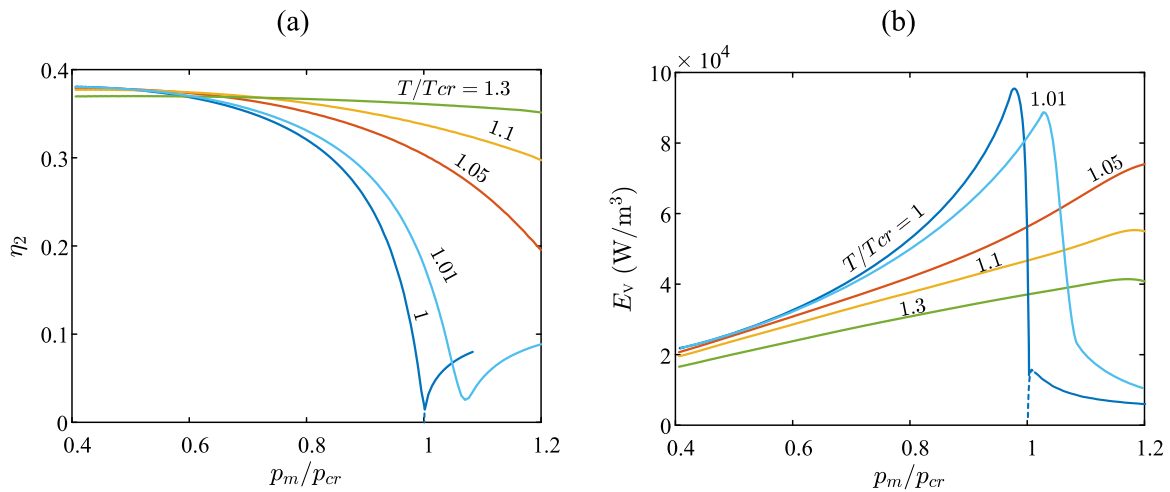


Fig. 6. Performance of the short engine under different mean pressures, with different temperatures. (a) Relative Carnot efficiency  $\eta_2$ . (b) Output power density  $E_V$ .  $|z| = 10 \rho_m a$ .  $\nabla T_m/\omega = 4.8 \text{ K s/m}$ .

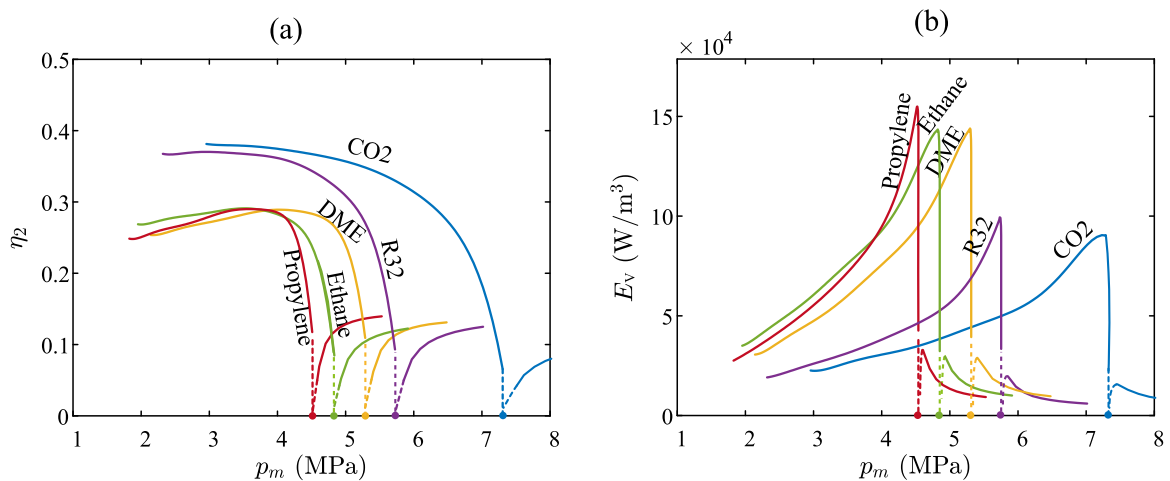


Fig. 7. Performance with a different mean pressure, for various fluids. (a) Relative Carnot efficiency  $\eta_2$ . (b) Output power density  $E_V$ .  $|z| = 10 \rho_m a$ .  $\nabla T_m/\omega = 4.8 \text{ K s/m}$ .  $T = T_{cr}$ . The location of the dashed line represent the critical pressure of each fluid.

however, for the same increase of  $p_m$  near the critical point,  $E_V$  only is slightly increased for  $\text{CO}_2$ . In general, the effects of the near-critical fluid is that it increases the power output, but it reduces the efficiency, so a trade-off needs to be reached to choose the fluid and the proper working conditions.

### 4.3. The full-scale engine

In this section, results for the full-scale standing-wave engine are discussed.

To demonstrate the advantages of a near-critical fluid over a gas far away from the critical point, in Fig. 8, the performance of engines with helium and  $\text{CO}_2$  as the working gas is compared. Note that the helium engine is similar to the one developed by Swift [33], but with adjustment on hydraulic radius of the stack to better fit the mean pressure. The mean pressure is scaled by the critical pressure of  $\text{CO}_2$ . The relative Carnot efficiency  $\eta_2$  shows strong dependence on  $p_m$  when  $\text{CO}_2$  is used. When  $p_m$  is increased towards  $p_{cr}$ , both the thermoacoustic conversion (specified by the source term  $|g|$ ) and the acoustic dissipation (specified by the viscous resistance  $r_v$  and the thermal-relaxation resistance  $r_a$ ) are significantly increased (see Fig. 4 for details). Their trade-off, which is also affected by the local acoustics, determines the variation of  $\eta_2$ . Specifically, when  $p_m$  is increased towards  $p_{cr}$ ,  $\eta_2$  rises until reaches a peak at around  $p_m/p_{cr} = 0.79$ , where the temperature

difference  $\Delta T_m$  reaches the lowest value. However, when  $p_m/p_{cr}$  is further increased,  $\eta_2$  drops and  $\Delta T_m$  rises, until  $p_m/p_{cr}$  reaches 1.09. Basically, the trends match those in the short engine model in Fig. 6. The peak efficiency appears at  $p_m/p_{cr} = 0.79$ , higher than that in the short engine model, because of the specific system design: this engine was optimized under  $p_m/p_{cr} = 0.9$ . Since a lower  $p_m$  helps increase efficiency (see Fig. 6), it is reasonable that the peak appears at a lower mean pressure. For comparison, the performance of the engine with helium shows a much weaker dependence on  $p_m$  because the engine works far away from the critical point of helium ( $T_{cr} = 5.20 \text{ K}$ ,  $p_{cr} = 0.227 \text{ MPa}$ ). Additionally, the curves for the three input heat are similar. The highest  $\eta_2$  of  $\text{CO}_2$  is 0.28, which is comparable to that of helium; meanwhile, the temperature difference  $\Delta T_m$  is much lower than that required for helium. This indicates the advantages of near-critical fluids for low-grade heat recovery.

As indicated by the discussion in Section 4.2 (see also Fig. 6), one of the potential advantages of near-critical fluids is the high power output. To verify this, in Fig. 9, the performance of the engine with various input heat  $Q_h$  is presented. It is clear that the generated acoustic power  $\Delta \dot{E}_s$  and the temperature difference  $\Delta T_m$  increase with the rise of input heat  $Q_h$ .  $\eta_2$  grows as  $Q_h$  is increased from about 100 W, until reaches a peak of 0.285 (with the corresponding  $\eta$  of 0.06) at around  $Q_h = 800 \text{ W}$ . Then  $\eta_2$  decreases gradually as  $Q_h$  is further increased. When  $Q_h$  is 10 kW,  $\eta_2$  becomes 0.25. A main reason for the decreased efficiency

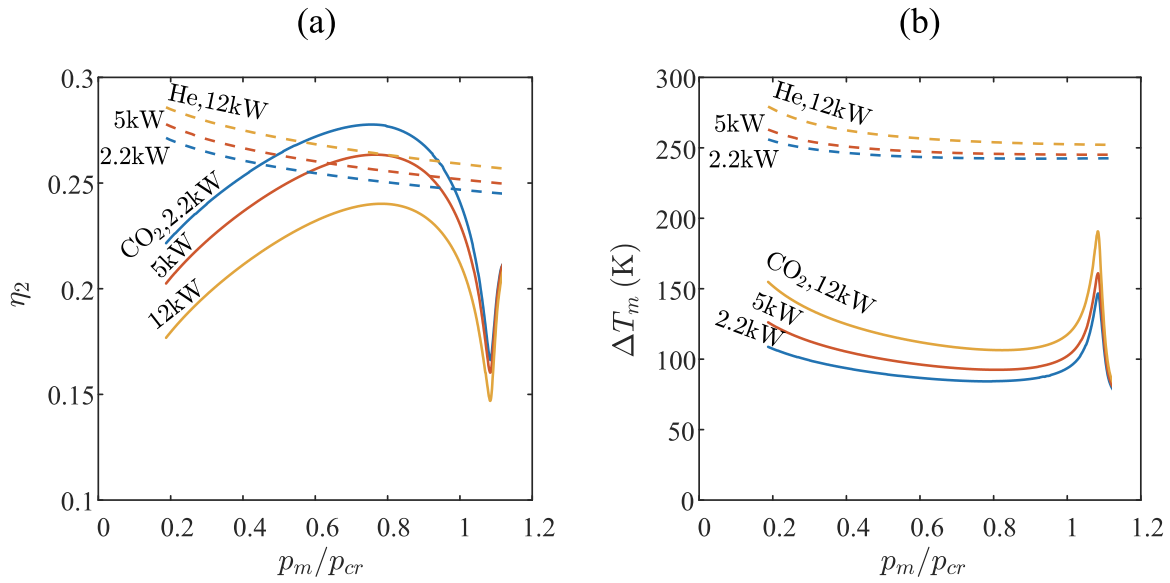


Fig. 8. Performance comparison of the engine with CO<sub>2</sub> and helium as working fluid respectively, under different mean pressure. (a) Relative Carnot efficiency  $\eta_2$ . (b) Temperature difference  $\Delta T_m$ . Results for three different input heat, i.e., 2.2 kW, 5 kW and 12 kW, are given.  $p_{cr}$  is the critical pressure of CO<sub>2</sub>, which is 7.38 MPa.  $T_a=308$  K.

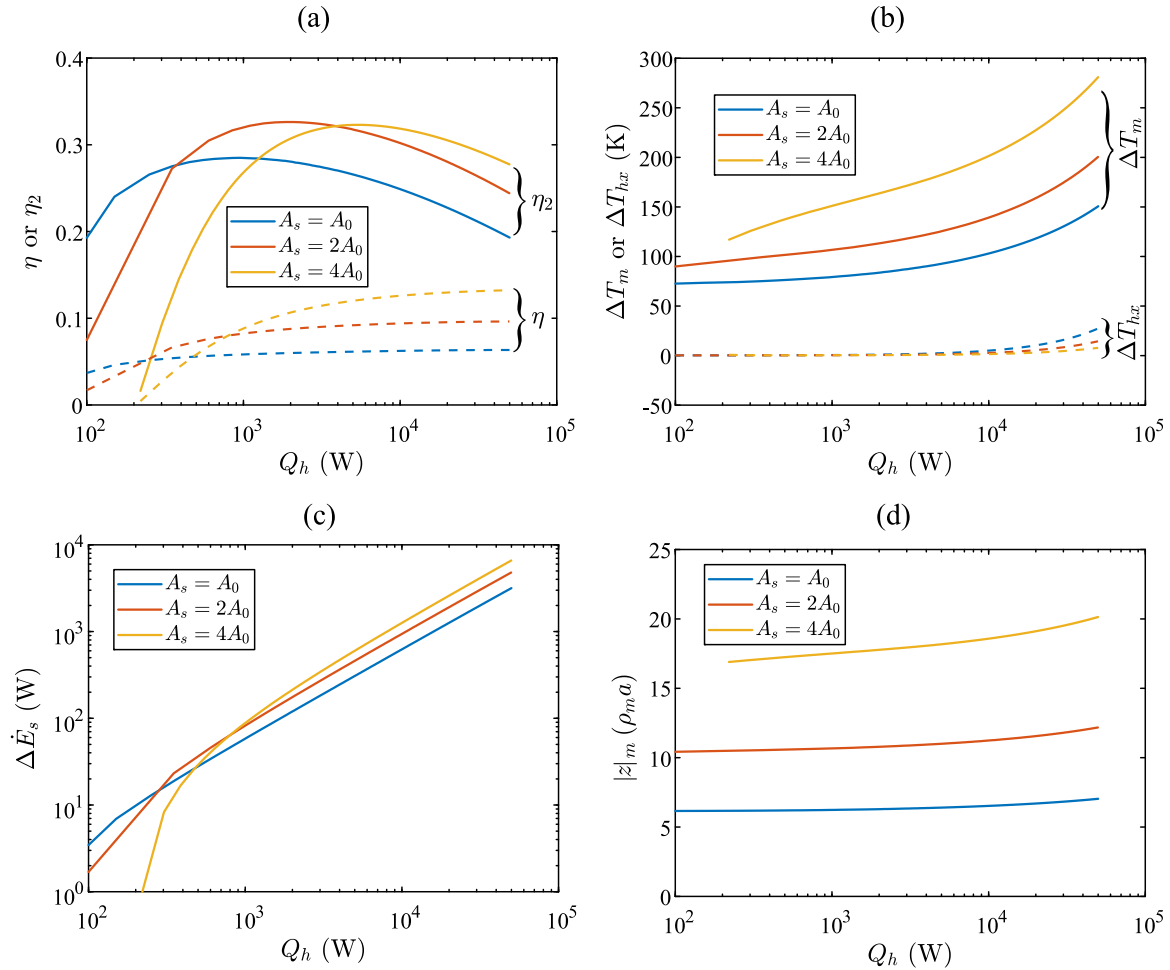


Fig. 9. Performance of the engine with various input heat  $Q_h$ . (a) Thermal efficiency  $\eta$  or relative Carnot efficiency  $\eta_2$ . (b) Temperature difference  $\Delta T_m$  or gas-solid temperature difference in two heat exchangers  $\Delta T_{hx}$ . (c) Generated acoustic power  $\Delta \dot{E}_s$ . (d) Mean acoustic impedance in the stack  $|z|_m$ . Results for three different cross-sectional area of the stack  $A_s$  are given.  $A_0$  is the original cross-sectional area.

is the growth of viscous loss, which is proportional to the square of velocity amplitude  $|v_1|$  [30]. Therefore, to improve the efficiency of

the system under a higher  $Q_h$ , a practical technique is to enlarge the cross-sectional area of the stack, so that the acoustic impedance can be

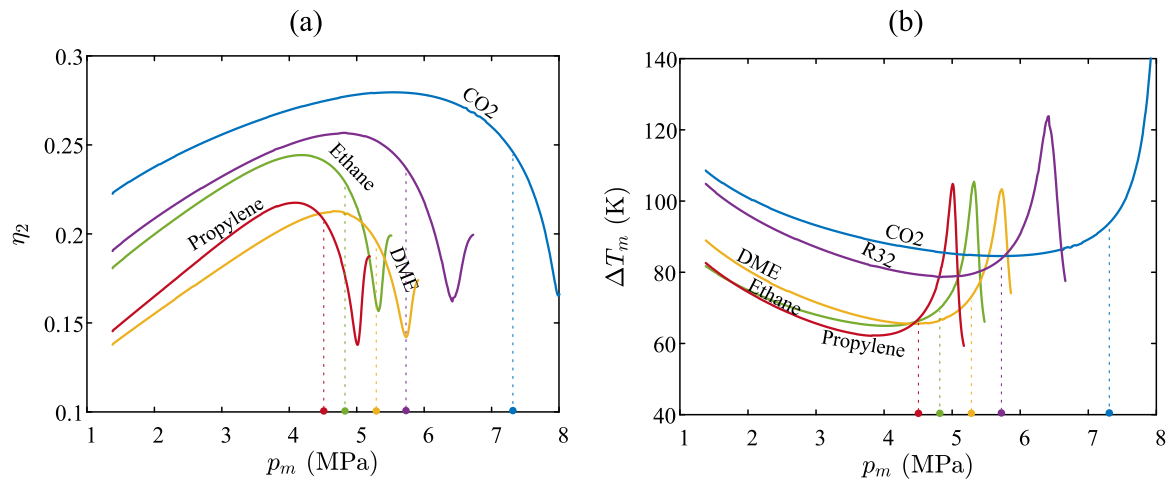


Fig. 10. Performance of the engine under different mean pressure, with different fluids. (a) Relative Carnot efficiency  $\eta_2$ . (b) Temperature difference  $\Delta T_m$  required to drive the engine. The location of the dashed line represents the critical pressure for each fluid.  $Q_h$  is fixed at 2.2 kW.

increased, and the viscous loss can be reduced [43]. In Fig. 9, the results with  $A_s = 2A_0$  and  $A_s = 4A_0$  are also shown, where  $A_0$  is the cross-sectional area of the original design. The values of  $Q_h$  with which  $\eta_2$  peaks is increased to 1.8 kW and 5.7 kW when  $A_s = 2A_0$  and  $A_s = 4A_0$ , respectively, with the corresponding peak  $\eta_2$  being 0.326 and 0.323. The mean acoustic impedance along the stack  $|z|_m$  are  $6.2 \rho_m a$ ,  $10.7 \rho_m a$  and  $17.6 \rho_m a$  with  $A_s = A_0$ ,  $A_s = 2A_0$  and  $A_s = 4A_0$ , respectively, when  $Q_h$  is about 1 kW. Importantly, for low-temperature-difference thermoacoustic engines, a main obstacle for the operation with high energy density is the increasingly high temperature difference between gas and solid in the heat exchanger [44]. As can be seen in subfigure (b), this problem can be significantly mitigated by using near-critical fluids. The temperature difference between solid and gas in both heat exchangers is much lower than usually seen in classical thermoacoustic engines, even with heat inputs as high as 10 kW. This is due to the high heat capacity  $\rho_m c_p$  of near-critical CO<sub>2</sub>, which significantly enhances the heat transfer between gas and solid.

In Fig. 10, the influence of different fluids on the performance of the engine, specified by the relative Carnot efficiency  $\eta_2$  and the temperature difference required to drive the engine, is demonstrated, under different mean pressure  $p_m$ . The location of the dashed line represents  $p_{cr}$  for each fluid. It can be seen that the trends for all fluids are similar: values of  $\eta_2$  decrease as  $p_m$  is increased towards  $p_{cr}$ , reach peaks below and near  $p_{cr}$  of each fluid, and drop when  $p_m$  is further increased; meanwhile, the curves for  $\Delta T_m$  have opposite trends. This confirms the effect of the near-critical point on the performance of the engine, which is reducing the temperature difference but lowering the efficiency. Further, the comparison between Fig. 10(b) and Fig. 7(b) verifies the deduction that a higher  $E_V$  helps reduce  $\Delta T_m$ , which was proposed in the discussion of the short engine. As for the variation of  $\eta_2$  between different substances under a fixed  $p_m$ , it basically matches that in Fig. 7. For instance, CO<sub>2</sub> enjoys the highest  $\eta_2$ , and is followed by R32. However, the difference in  $\eta_2$  of the three other fluids is more significant than that in the short engine, because of the specific engine design, and the fixed input heat. Additionally, the negative peak is at a pressure slightly higher than  $p_{cr}$ , while in the short engine model, the lowest  $\eta_2$  appears at  $p_{cr}$ . The reason is that the lowest temperature in the engine is above  $T_{cr}$ , and the temperature is not fixed along the stack.

## 5. Conclusions

In this work, thermoacoustic conversion was investigated, theoretically, for fluids near the critical point — extending the commonly

assumed ideal gas properties. The formulated model was validated against existing experimental results, showing good agreement. In the simulation, the operating temperature range of the whole system is above  $T_{cr}$  (the critical temperature), while the pressure is in the range of  $0.2 p_{cr}$  to  $1.2 p_{cr}$ , where  $p_{cr}$  is the critical pressure. The following conclusions can be reached:

First, from the short engine analysis, when pressure is increased or temperature is decreased towards the critical point, thermoacoustic conversion can be enhanced, but this is offset by acoustic dissipation, which also becomes more severe. As a result, the output power of the short engine increases but efficiency drops when the temperature gradient stays fixed. This is due to sudden changes of properties near the critical points, which increase both the acoustic source term and the acoustic dissipation from viscosity and thermal relaxation. These two factors show competing effects on the performance of the engine. Their trade-off, together with the acoustic field distribution, ultimately determines the efficiency.

Second, at supercritical temperatures, the sub-critical region (pressure slightly lower than  $p_{cr}$ ) is better than the supercritical region near the critical point. This conclusion is obtained from the short engine model, and further verified in the full-scale engine model. Specifically,  $p_m \sim 0.8 p_{cr}$  is suggested. For the presented full-scale system, the relative Carnot efficiency is 0.26 when  $p_m = 0.79 p_{cr}$ , which then drops to 0.16 when  $p_m = 1.09 p_{cr}$ .

Importantly, a potential application of the near-critical thermoacoustic engine is low-grade heat recovery, due to the lower temperature difference required for operation and the enhanced heat exchanger performance. This is similar to some power cycles adopting transcritical working fluids [45,46]. The technical difficulty of developing such a system is similar to classical thermoacoustic system, except the requirement of a charging device. This can be done through a bladder accumulator or some blower device [26].

## Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.



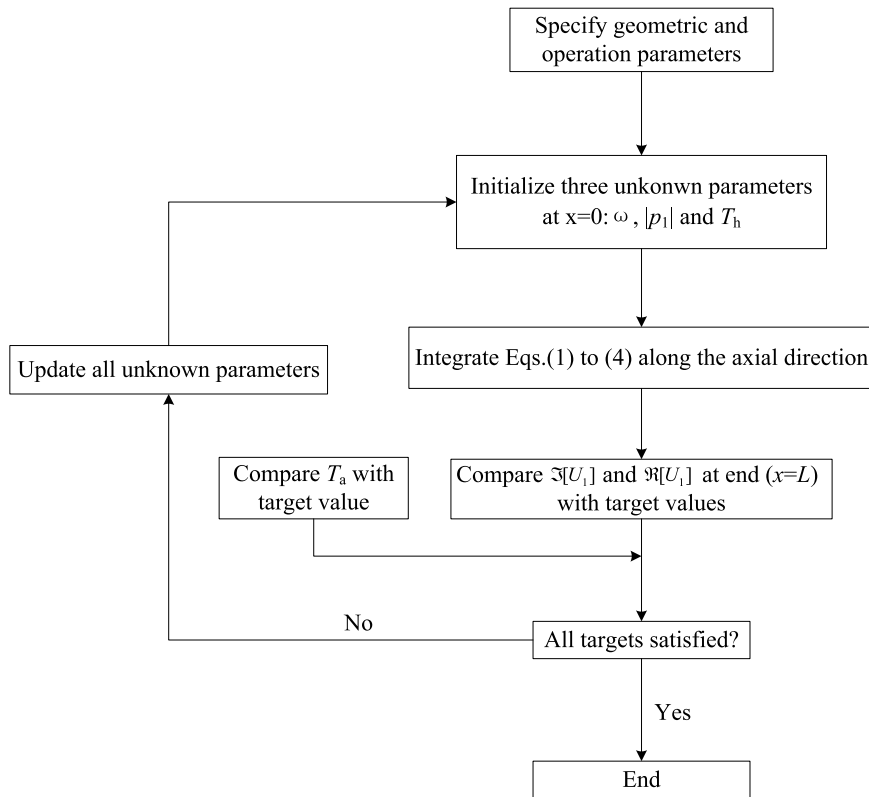


Fig. A.1. Methodology of the numerical algorithm for the full-scale engine.

**Acknowledgments**

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**Appendix A. Assumptions of the theory**

The assumptions made in the model are called the Rott’s acoustic approximations [30], including the following items:

- (a) The acoustic wave propagates only in one direction (x direction).
- (b) The wavelength is much longer than the penetration depths.
- (c) System variables are considered to be the sum of an average and time-periodic quantities, which oscillate sinusoidally,

$$\begin{aligned}
 p(x, t) &= p_m + \Re [p_1(x)e^{i\omega t}] \\
 u(x, y, z, t) &= \Re [u_1(x, y, z)e^{i\omega t}] \\
 T(x, y, z, t) &= T_m(x) + \Re [T_1(x, y, z)e^{i\omega t}] \\
 \rho(x, y, z, t) &= \rho_m(x) + \Re [\rho_1(x, y, z)e^{i\omega t}]
 \end{aligned}$$

where higher-order terms are neglected. In the above equations, the subscripts “m” and “1” represent the mean and first order, oscillating values, respectively.

(d) For parameters like  $T$  and  $\rho$ , the first order values are much smaller than the corresponding mean values. For  $u$ , it is much smaller than sound speed.

**Appendix B. Methodology of the full-scale engine**

The methodology of numerical solution is shown in Fig. A.1. After specify geometric and operation parameters of the system, the governing equations (i.e., Eqs. (1) to (4)) can be integrated along the

axial direction with a fourth-order Runge-Kutta method. However, the angular frequency  $\omega$ , the pressure amplitude  $p_1$  at the beginning of the integration ( $x = 0$ ) and the hot temperature  $T_h$  are unknown. To perform the integration, their initial values were given artificially. To obtain precise values of unknown parameters, three targets needs to be satisfied, which are:

$$\Re [U_1] \Big|_{x=L} = 0 \tag{A.2a}$$

$$\Im [U_1] \Big|_{x=L} = 0 \tag{A.2b}$$

$$T_h = \text{target value} \tag{A.2c}$$

Aided by a shooting method and targeting the three boundary conditions, the problem is solved. As a result, the distributions of  $p_1$ ,  $U_1$ ,  $T_m$  and other parameters can also be obtained. All the calculations are done using PC-TAS, a software for simulating thermoacoustic systems [37].

A more detailed description on how the PC-TAS works can be found in its users’ guide (<https://wetlab.net.technion.ac.il/files/2023/03/PCTAS-Users-Guide.pdf>). The source code of PC-TAS, including the modified code used for this paper, can be found in <https://wetlab.net.technion.ac.il/pc-tas/>. We also note that running a system with non-ideal fluids requires the installation of NIST Refprop, and the MATLAB-Refprop interface (<https://github.com/usnistgov/REFPROP-wrappers/blob/master/wrappers/MATLAB/legacy/refpropm.m>).

**Appendix C. Supplementary data for Fig. 3**

In Fig. A.2, we show both the original discontinuous results from calculation and the fitted curve.

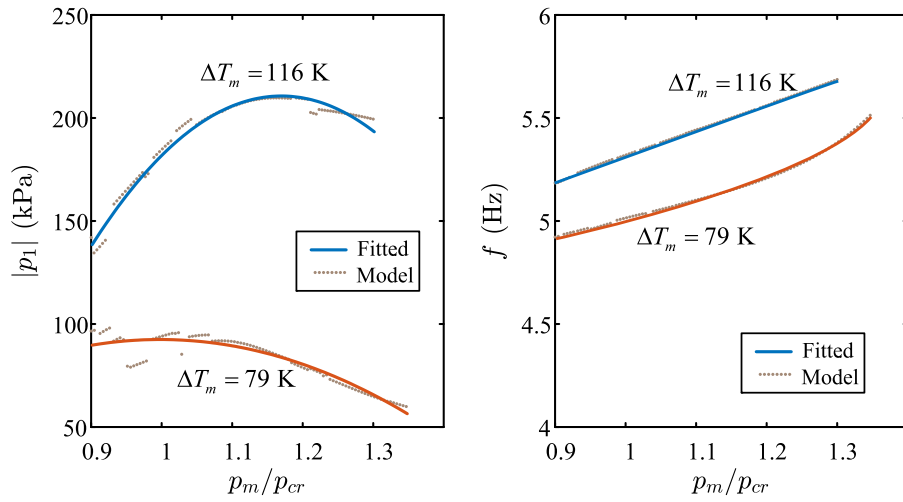


Fig. A.2. The original results for calculation and the fitted curve.

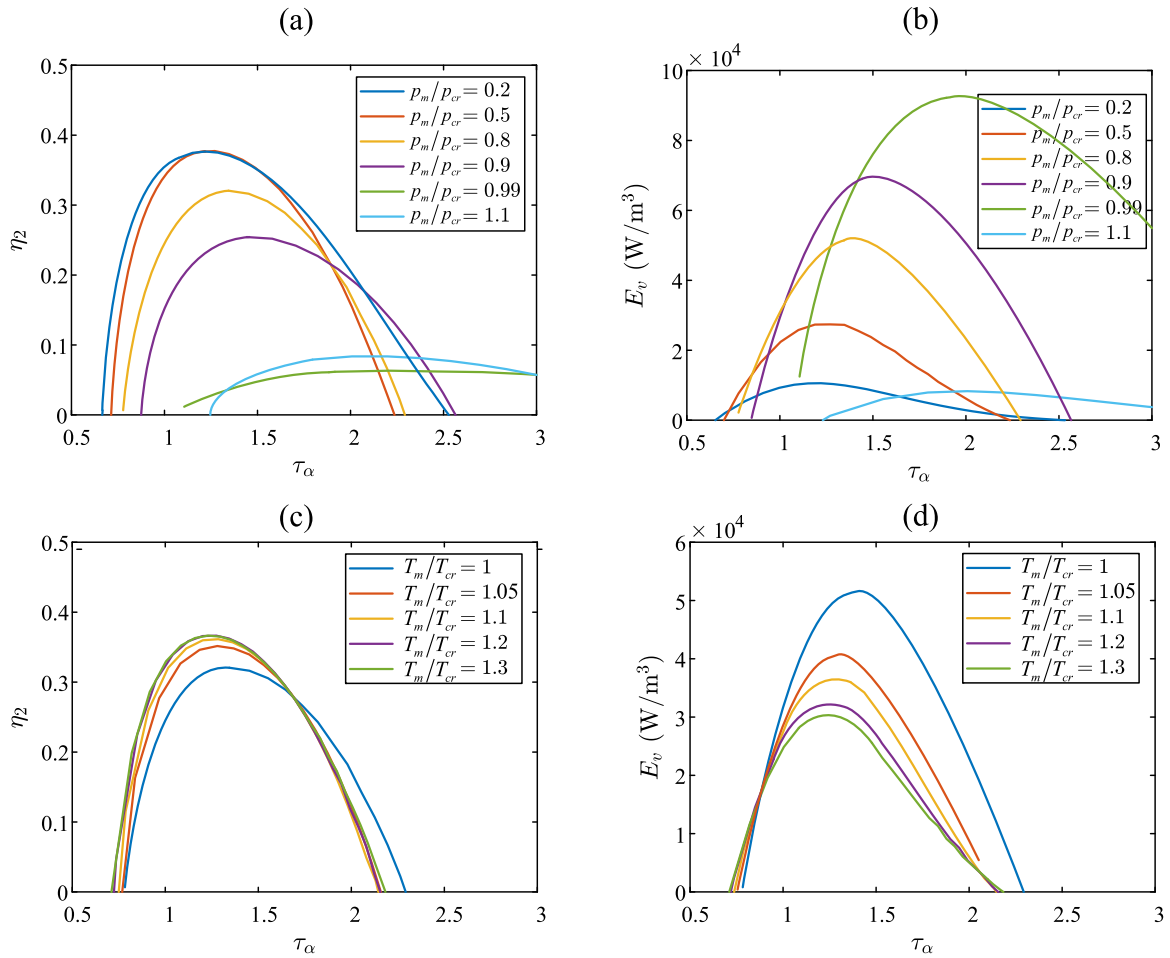


Fig. A.3. Relative Carnot efficiency  $\eta_2$  and output power density  $E_v$  of the short engine vs. Womersley number  $\tau_\alpha$ . In (a) and (b), results for different  $p_m/p_{cr}$  are shown, with  $T_m/T_{cr} = 1$ . In (c) and (d), results for different  $T_m/T_{cr}$  are shown, with  $p_m/p_{cr} = 0.8$ .  $|z| = 10 \rho_m a$ .  $\nabla T_m/\omega = 4.8 \text{ K s/m}$ .  $\tau_\alpha = r_h \sqrt{\omega/\alpha}$ .

**Appendix D. Supplementary data for the short engine**

To investigate the effect of near-critical working conditions, in Fig. A.3, the performance of the short engine, specified by the relative Carnot efficiency  $\eta_2$  and output power density  $E_v$ , is shown under different  $p_m$ , and  $T_m$ .

**Appendix E. Distributions of parameters in the stack**

In Fig. A.4, three points from Fig. 8, which are  $p_m/p_{cr} = 0.19$ ,  $p_m/p_{cr} = 0.79$  and  $p_m/p_{cr} = 1.09$  for  $\text{CO}_2$ , are selected to show their distributions of  $T_m/T_{cr}$ ,  $\dot{E}_2$ ,  $\beta$  and  $Pr$  on the stack.

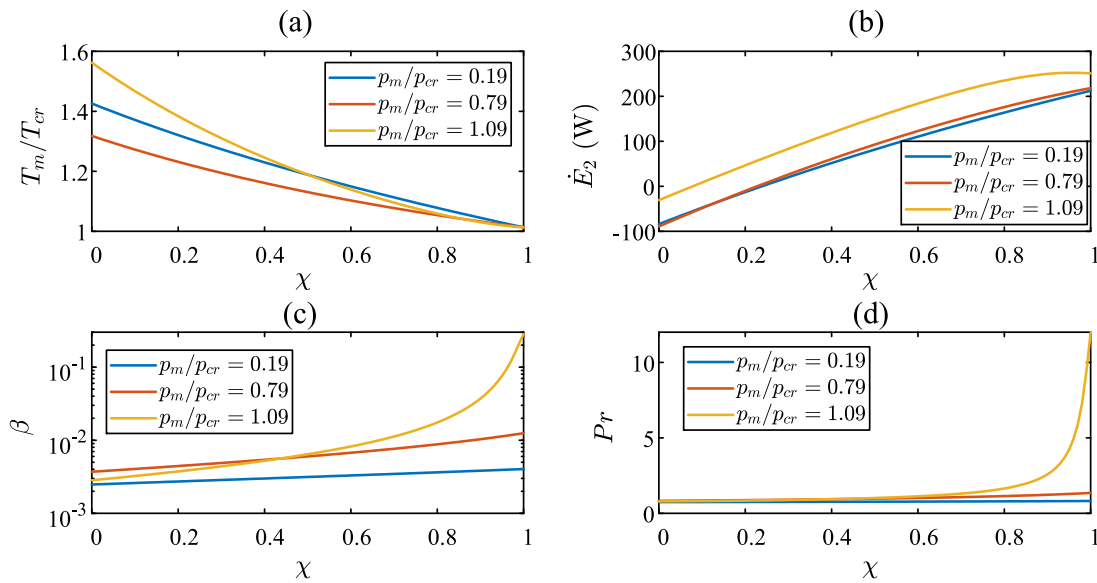


Fig. A.4. Distributions of various parameters along the stack. (a) Scaled temperature  $T_m/T_{cr}$ . (b) Acoustic power  $\dot{E}_2$ . (c) Thermal expansion coefficient  $\beta$ . (d) Prandtl number  $Pr$ . The three cases are selected from Fig. 8.  $\chi$  is the dimensionless location on the stack.  $\chi = 0$  and  $\chi = 1$  represent the hot and cold ends of the stack respectively.

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